



Receipt

PTO/SB/21 (12/97)
Approved for use through 9/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application No.	09/538,951	
	Filing Date	March 31, 2000	
	First Named Inventor	Carl M. Ellison	
	Group Art Unit	3642	
	Examiner Name		
Total Number of Pages in This Submission	6	Attorney Docket Number	042390.P8097

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Request for Correction of Filing Receipt; Copy of Official Filing Receipt</div>
Remarks		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William W. Schaal, Reg. No. 39,018 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	August 28, 2000

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: August 28, 2000			
Typed or printed name	Lynnée B. Leon		
Signature		Date	August 28, 2000

Burden Hour Statement: This form is estimated to take 8.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/17 (12/99)

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$)

Complete if Known

Application No. 09/538,951
Filing Date March 31, 2000
First Named Inventor Carl M. Ellison
Examiner Name
Group/Art Unit 3642
Attorney Docket Number 042390.P8097

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees to:
☐ The Commissioner is hereby authorized to credit any over payments to:

Deposit
Account
Number

02-2666

Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fees Required Under 37
CFR §§ 1.16, 1.17, 1.18 and 1.20.

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	690	201	345	Utility filing fee	
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent	Multiple Dependent						

**or number previously paid, if greater. For Reissues, see below

Large Entity Small Entity

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple Dependent claim, if not paid
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for response within first month
116	380	216	190	Extension for response within second month
117	870	217	435	Extension for response within third month
118	1,210	218	680	Extension for response within fourth month
128	1,850	228	925	Extension for response within fifth month
119	300	219	150	Notice of Appeal
120	300	220	150	Filing a brief in support of an appeal
121	260	221	130	Request for oral hearing
138	1,510	138	1510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,210	241	605	Petition to revive - unintentional
142	1,210	242	605	Utility issue fee (or reissue)
143	430	243	215	Design issue fee
144	580	244	290	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY

Typed or
Printed Name

William W. Schaal

Signature

Date

08/28/00

Complete (if applicable)

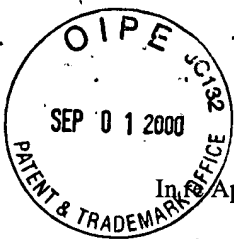
Reg. Number

39,018

Deposit Account
User ID

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of:

Carl M. Ellison et al.

Application No.: 09/538,951

Filed: March 31, 2000

For: **PLATFORM AND METHOD FOR
ISSUING AND CERTIFYING A
HARDWARE-PROTECTED
ATTESTATION KEY**

Examiner:

Art Group: 3642

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REQUEST FOR CORRECTION OF FILING RECEIPT

Assistant Commissioner for Patents
Washington, DC 20231-9998

Sir:

We have received the official Filing Receipt for the above-identified U.S. Patent Application (copy enclosed). Upon our review, we have discovered that the spelling of the 10th inventor's name is incorrect. The receipt indicates the spelling as:

"Milland Mittal"

The correct spelling should be corrected to read as follows:

"Millind Mittal".

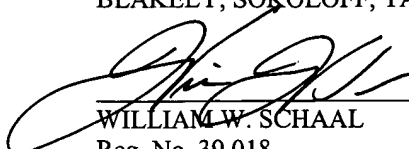
Please correct your records to reflect the correct spelling of the 10th inventor's name and send us a corrected filing receipt.

If there are any charges, please charge them to Account No. 02-2666. A duplicate copy of this letter is enclosed. Please direct all communications regarding this matter to the undersigned.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: August 28, 2000


WILLIAM W. SCHAAL
Reg. No. 39,018

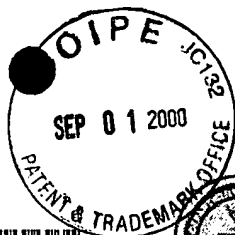
12400 Wilshire Boulevard, Seventh Floor
Los Angeles, California 90025
(714) 557-3800

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Lynnea B. Leon

08/28/00
Date



FILING RECEIPT



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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOTL CLAIMS	IND CLAIMS
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09/538,951

03/31/2000

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0423903.P8097

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Blakely Sokoloff Taylor & Zafman LLP
12400 Wilshire Boulevard
Seventh floor
Los Angeles, CA 90025RECEIVED
AUG 10 2000BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

Date Mailed: 08/03/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Carl M. Ellison, Portland, OR ;
Roger A. Golliver, Beaverton, OR ;
Howard C. Herbert, Phoenix, AZ ;
Derrick C. Lin, Foster City, CA ;
Francis X. McKeen, Portland, OR ;
Gilbert Neiger, Portland, OR ;
Ken Reneris, Wilbraham, MA ;
James A. Sutton, Portland, OR ;
Shreekant S. Thakkar, Portland, OR ;
~~Millard Mittal, Palo Alto, CA ;~~
millard

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Jc

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AUG 11 2000

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 06/08/2000

Title

Platform and method for issuing and certifying a hardware-protected attestation key

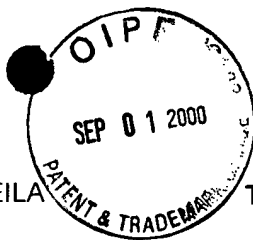
Preliminary Class

380

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AUG 10 2000

STATUS DB-LA



Data entry by : THOMAS, SHEILA

Team : OIPE

Date: 08/03/2000



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**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

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Customer Service Center
Washington, DC 20231

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

SERIAL NUMBER 09/538,951	FILING DATE 03/31/2000 RULE -	CLASS 380	GROUP ART UNIT 3642	ATTORNEY DOCKET NO. 0423903.P8097
APPLICANTS Carl M. Ellison, Portland, OR ; Roger A. Golliver, Beaverton, OR ; Howard C. Herbert, Phoenix, AZ ; Derrick C. Lin, Foster City, CA ; Francis X. McKeen, Portland, OR ; Gilbert Neiger, Portland, OR ; Ken Reneris, Wilbraham, MA ; James A. Sutton, Portland, OR ; Shreekant S. Thakkar, Portland, OR ; Millind Mittal, Palo Alto, CA ;				
** CONTINUING DATA ***** CS				
** FOREIGN APPLICATIONS ***** CS				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 06/08/2000				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input checked="" type="checkbox"/> Met after Allowance Verified and Acknowledged <i>[Signature]</i> CS Examiner's Signature Initials		STATE OR COUNTRY OR	SHEETS DRAWING 6	TOTAL CLAIMS 23
				INDEPENDENT CLAIMS 4
ADDRESS Blakely Sokoloff Taylor & Zafman LLP 12400 Wilshire Boulevard Seventh floor Los Angeles, CA 90025				
TITLE Platform and method for issuing and certifying a hardware-protected attestation key				
FILING FEE RECEIVED 952	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/>	

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